

S/N 09/995,407

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: Mark P. Bendett

Examiner: Unknown

Serial No.: 09/995,407

Group Art Unit: Unknown

Filed: November 27, 2001

Docket: 1731.010US1

Title: APPARATUS AND METHOD FOR INTEGRATED PHOTONIC DEVICES
HAVING HIGH-PERFORMANCE WAVEGUIDES AND
MULTICOMPOSITIONAL SUBSTRATES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicant requests that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Supplemental Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Account No. 19-0743 in order to have this Supplemental Information Disclosure Statement considered.

The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

MARK P. BENDETT

By his Representatives,

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Date

23 December 2002

By

Charles A. Lemaire

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CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on this 23 day of December, 2002.

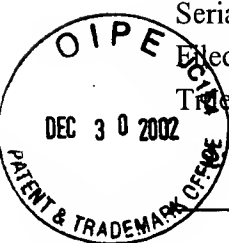
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

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|----------------------|---------------------|
| Application Number | 09/995407 |
| Filing Date | November 27, 2001 |
| First Named Inventor | Bendett, Ph.D, Mark |
| Group Art Unit | Unknown |
| Examiner Name | Unknown |

Sheet 1 of 1

Attorney Docket No: 1731.010US1

US PATENT DOCUMENTS

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
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| | US-5,887,097 | 03/23/1999 | Henry, C. H., et al | 385 | 39 | 07/21/1997 |
| | US-6,055,342 | 04/25/2000 | Yi, Sang-yun , et al | 385 | 15 | 07/07/1998 |
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| | US-H1848 | 05/02/2000 | Amin, J , et al | 385 | 132 | 08/18/1997 |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T ² |
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| | JP-02222187 | 09/04/1990 | Imoto, K. | H01S | 3/23 | |

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------|----------------------|---|----------------|
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EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1440)

* EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached